

# United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: Commissioner For Patents
P.O. bux 1410
Advantma. Veginal 22313-1450
www.yspto.guv

| APPLICATION NO.  | FILING DATE           | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|-----------------------|----------------------|---------------------|------------------|
| 09/970,655 10/04/2001  |                       | Viktors Berstis      | AUS920010938US1     | 2026             |
| 7590 04/09/2007 Robert V. Wilder Attorney at Law 4235 Kingsburg Drive Round Rock, TX 78681 |                       |                      | EXAMINER            |                  |
|  |                       |                      | KENDALL, CHUCK O    |                  |
|  |                       |                      | ART UNIT            | PAPER NUMBER     |
| Round Rock, 1  | 71 70001              |                      | 2192                |                  |
| CHOPETATE CTATE TOP  | AV DEDIOD OF DESDONSE | MAIL DATE            | DELIVER             | Y MODE           |
| SHORTENED STATUTOR   | RY PERIOD OF RESPONSE | MAIL DATE            | DELIVER             | 1 MODE           |
| 3 MONTHS   |                       | 04/09/2007           | PAPER               |                  |

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.

|  |   | Application No.  | Applicant(s)  |  |  |  |  |
|--|---|--|---|--|--|--|--|
| Office Action Summary  |   | 09/970,655   | BERSTIS, VIKTORS  |  |  |  |  |
|  |   | Examiner   | Art Unit  |  |  |  |  |
|  |   | Chuck O. Kendall   | 2192  |  |  |  |  |
| The MAILING DATE of this communication appears on the cover sheet with the correspondence address<br>Period for Reply  |   |  |   |  |  |  |  |
| WHIC<br>- Exter<br>after<br>- If NC<br>- Failu<br>Any  | ORTENED STATUTORY PERIOD FOR REP<br>CHEVER IS LONGER, FROM THE MAILING<br>asions of time may be available under the provisions of 37 CFR of<br>SIX (6) MONTHS from the mailing date of this communication.<br>Operiod for reply is specified above, the maximum statutory perior<br>re to reply within the set or extended period for reply will, by stati-<br>treply received by the Office later than three months after the mai-<br>and patent term adjustment. See 37 CFR 1.704(b). | DATE OF THIS COMMUNICATI<br>1.136(a). In no event, however, may a reply be<br>of will apply and will expire SIX (6) MONTHS fr<br>ute, cause the application to become ABANDO | ON. e timely filed from the mailing date of this communication. DNED (35 U.S.C. § 133). |  |  |  |  |
| Status   | •   |  |   |  |  |  |  |
| 1)   | Responsive to communication(s) filed on 24  | January 2007.  |   |  |  |  |  |
| •  |   | nis action is non-final.   |   |  |  |  |  |
| · -  | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is   |  |   |  |  |  |  |
| ,—   | closed in accordance with the practice under Ex parte Quayle, 1935 C.D. 11, 453 O.G. 213.   |  |   |  |  |  |  |
| Dispositi  | on of Claims  |  |   |  |  |  |  |
| 4)⊠  | 4)⊠ Claim(s) <u>1-24</u> is/are pending in the application.   |  |   |  |  |  |  |
|  | 4a) Of the above claim(s) is/are withdrawn from consideration.  |  |   |  |  |  |  |
| 5)   | 5) Claim(s) is/are allowed.   |  |   |  |  |  |  |
| 6)⊠  | )⊠ Claim(s) <u>1-24</u> is/are rejected.  |  |   |  |  |  |  |
| 7)   | Claim(s) is/are objected to.  |  |   |  |  |  |  |
| 8)[  | Claim(s) are subject to restriction and   | or election requirement.   |   |  |  |  |  |
| Applicati  | on Papers   |  |   |  |  |  |  |
| 9) The specification is objected to by the Examiner.   |   |  |   |  |  |  |  |
| 10)🛛   | 10)⊠ The drawing(s) filed on <u>26 January 2007</u> is/are: a)⊠ accepted or b)□ objected to by the Examiner.  |  |   |  |  |  |  |
|  | Applicant may not request that any objection to the   | e drawing(s) be held in abeyance. \$   | See 37 CFR 1.85(a).   |  |  |  |  |
| Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).   |   |  |   |  |  |  |  |
| 11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.   |   |  |   |  |  |  |  |
| Priority ι   | ınder 35 U.S.C. § 119   |  |   |  |  |  |  |
| 12) Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f). a) All b) Some * c) None of:   |   |  |   |  |  |  |  |
|  | 1. Certified copies of the priority documents have been received.   |  |   |  |  |  |  |
|  | 2. Certified copies of the priority documents have been received in Application No  |  |   |  |  |  |  |
|  | 3. Copies of the certified copies of the priority documents have been received in this National Stage   |  |   |  |  |  |  |
| application from the International Bureau (PCT Rule 17.2(a)).  |   |  |   |  |  |  |  |
| * See the attached detailed Office action for a list of the certified copies not received.   |   |  |   |  |  |  |  |
|  |   | -  |   |  |  |  |  |
|  | •   |  |   |  |  |  |  |
| Attachmen  | •   |  |   |  |  |  |  |
| 1) Notice of References Cited (PTO-892)  4) Interview Summary (PTO-413)  Paper No(s)/Mail Date   |   |  |   |  |  |  |  |
| Information Disclosure Statement(s) (PTO/SB/08)   Solution   Sol |   |  |   |  |  |  |  |
| . ape  |   | o) 🗀 Oulei   |   |  |  |  |  |

Application/Control Number: 09/970,655 Page 2

Art Unit: 2192

#### **DETAILED ACTION**

- 1. This is in response to application file 01/24/07.
- 2. Claims 1 24 are pending.

### Claim Rejections - 35 USC § 103

- 3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:
  - (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 4. Claims 1 24 are rejected under 35 U.S.C. 103(a) as being unpatentable over Price USPN 6,738,932 B1 (art of record) in view of Brody US 2001/0051928 A1.

Regarding claim 1, Price discloses a method for extracting information from a software package, said software package including a number of executable software modules, organized in a manner determined by said identification information, said method comprising:

determining an organization of said executable software modules within said software package (Figure 3, 310 and all associated text).

extracting said identification information from said organization of said executable software modules within said package (5:1 – 5, see identified executables are

Art Unit: 2192

retrieved). Price doesn't expressly disclose wherein said organization comprising a sequence in which component of said executable software modules are linked. However, Brody in an analogous art and similar configuration of organizing/reorganizing code (see abstract) discloses determining the original orders of or permutation groups and further discloses being implemented with binary code and as well as linked code and being able to identify the sequences (0141). Therefore it would have been obvious to one of ordinary skill in the art at the time the invention was made to combine, Price and Brody because, it would enable reorganizing/reverse engineering the code.

Regarding claim 2, the method as set forth in claim 1 wherein said executable software modules are coupled together in a manner representative of said identification information (2:50 – 65, shows identification system, which includes index mechanism for identifying files depending on its arrangement).

Regarding claim 3, wherein said executable software modules are coupled together by compiling said software modules into an executable form of said software package (6:65 – 67, shows the use of a compiler),

Regarding claim 4, wherein said executable software modules are coupled together by linking said executable software modules into an executable form of said software package (6:20 – 25, see linker).

Regarding claim 5, the method as set forth in claim 1 and further including: analyzing said software package to determine an organizational relationship among said software modules (Figure 1, 160 and 170); and

Art Unit: 2192

determining said first format from said organizational relationship of said software modules (4:5 – 10, see versions of any type).

Regarding claim 6, the method as set forth in claim 1 and further including transmitting said organization over a network to a requesting terminal, said requesting terminal being enabled to extract said identification information from said organization of said executable software modules of said software package (4:40 – 55).

Regarding claim 7, wherein said software package is transmitted from a user terminal over an internet network to a server (7:45 – 55).

Regarding claim 10, the method as set forth in claim 1 wherein said identification information includes an identification of a user of said software package (5:7 – 10, see identify computer system, interpreted as user).

Regarding claim 8, wherein said user terminal is a wireless device (7:45 - 55).

Regarding claim 9, wherein said user terminal is personal computer system (Figure 1, 114).

Regarding claim 10, the method as set forth in claim 1 wherein said identification information includes an identification of a user of said software package (5:7 – 10, see identify computer system, interpreted as user).

Regarding claim 11, the method as set forth in claim 1 wherein said identification information includes an identifying number related to said software package (2:47 – 52, see version identification information, version name).

Art Unit: 2192

Regarding claim 12, the method as set forth in claim 11 wherein said identification information further includes an identification of a user of said software package (5:7 – 10, see identify computer system).

Regarding claim 13, the method as set forth in claim 1 wherein said executable software modules are organized in a series of sets of executable software modules, each of said sets comprising a predetermined number of executable software modules (7:40 – 50, see listings).

Regarding claim 14, wherein said series of sets corresponds to a binary series (7:60 – 65, see binary or executable).

Regarding claim 15, Doherty further discloses the method as set forth in claim 13 wherein said first format is other than a binary format, each of said sets comprising a number of said executable software modules other than two, said first format being determined according to an order in which said number of executable software modules are sequenced within said sets of executable software modules (7:40 – 45, see numerous formats).

Regarding claim 16, which is the medium version of claim 1 above, see rationale as previously discussed.

Regarding claim 17, the method as set forth in claim 1 as set forth in claim 16 wherein said medium is an optically encoded disk (8:55 – 60, see disk, CD ROM, the like).

Art Unit: 2192

Regarding claim 18, the method as set forth in claim 1 as set forth in claim 16 wherein said medium is a magnetically encoded magnetic diskette (8:55 – 60, see disk, CD ROM, the like).

Regarding claim 19, which is the medium version of claim 9 above, see rationale as previously discussed.

Regarding claim 20, the medium as set forth in claim 16 wherein software package resides on a memory device within a computer device (Fig. 1, 118).

Regarding claim 21, which is the medium version of claim 10 above, see rationale as previously discussed.

Regarding claim 22, which is the medium version of claim 11 above, see rationale as previously discussed.

Regarding claim 23, which is the medium version of claim 9 above, see rationale as previously discussed.

Regarding claim 24, see reasoning in claim 1.

## Response to Arguments

5. Applicant's arguments with respect to claims 1 – 24 have been considered but are most in view of the new ground(s) of rejection.

### Correspondence information

Art Unit: 2192

6. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Tuan Dam can be reached on 571-272-3695. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300. Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

4/264

Art Unit: 2192

Ck.

Page 8